

# SECURE HARDWARE DESIGN FOR TRUST

**MARCH 2014** 

FINAL TECHNICAL REPORT

APPROVED FOR PUBLIC RELEASE; DISTRIBUTION UNLIMITED

STINFO COPY

# AIR FORCE RESEARCH LABORATORY INFORMATION DIRECTORATE

# NOTICE AND SIGNATURE PAGE

Using Government drawings, specifications, or other data included in this document for any purpose other than Government procurement does not in any way obligate the U.S. Government. The fact that the Government formulated or supplied the drawings, specifications, or other data does not license the holder or any other person or corporation; or convey any rights or permission to manufacture, use, or sell any patented invention that may relate to them.

This report was cleared for public release by the 88<sup>th</sup> ABW, Wright-Patterson AFB Public Affairs Office and is available to the general public, including foreign nationals. Copies may be obtained from the Defense Technical Information Center (DTIC) (http://www.dtic.mil).

AFRL-RI-RS-TR-2014-048 HAS BEEN REVIEWED AND IS APPROVED FOR PUBLICATION IN ACCORDANCE WITH ASSIGNED DISTRIBUTION STATEMENT.

FOR THE DIRECTOR:

/S/ STEVEN T. JOHNS Chief, Trusted Systems Branch Computing & Communications Division /S/
MARK LINDERMAN
Technical Advisor, Computing &
Communications Division
Information Directorate

This report is published in the interest of scientific and technical information exchange, and its publication does not constitute the Government's approval or disapproval of its ideas or findings.

# REPORT DOCUMENTATION PAGE

Form Approved OMB No. 0704-0188

The public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.

PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS.

	CDATE (DD-MM-YYYY) 2. REPORT TYPE					3. DATES COVERED (From - To)				
	RCH 2014		FINAL TECH	NICAL REPOR						
4. TITLE AND S	UBTITLE				5a. CON	TRACT NUMBER IN-HOUSE				
0=0110=114		-0.01.505				IN-HOUSE				
SECURE HA	RDWARE DE	SIGN FOR	IRUST		5b. GRAI	NT NUMBER				
						N/A				
					Fa DDO					
					5c. PROGRAM ELEMENT NUMBER 62788F					
			027001							
6. AUTHOR(S)					5d. PROJECT NUMBER					
					SHDT					
Garrett S. Ro	se				5e. TASK NUMBER					
					IN					
					EL WODI	/ HAUT AU IMPED				
					of. WOR	K UNIT NUMBER				
					HO					
			ND ADDRESS(ES)			8. PERFORMING ORGANIZATION				
Air Force Res		atory/RITA				REPORT NUMBER				
525 Brooks R										
Rome NY 13	441-4505									
9. SPONSORIN	G/MONITORING	AGENCY NAM	E(S) AND ADDRESS	S(ES)		10. SPONSOR/MONITOR'S ACRONYM(S)				
Air Force Do	aarah Lahar	otom/DITA				AFRL/RI				
Air Force Res		alory/KITA				11. SPONSOR/MONITOR'S REPORT NUMBER				
525 Brooks Road Rome NY 13441-4505										
TKOING IVI 13	<del>111 1000</del>					AFRL-RI-RS-TR-2014-048				
12. DISTRIBUTION AVAILABILITY STATEMENT										
Approved for Public Release; Distribution Unlimited. PA# 88ABW-2014-0806										
Date Cleared: 27 FEB 2014										
13. SUPPLEMENTARY NOTES										
14. ABSTRACT										
	In this work, we have developed a technique called Logic Encryption to harden computer circuitry against these									
malicious threats by hiding the functionality of a design. The functionality of a design will be concealed until it is configured by a designer after fabrication and all critical computing components are under Air Force custody and control.										
						multiplexers such that correct outputs				
						nanufacturing. We relate logic encryption				
						e insertion of key gates. This method is				
process independent and can yield valuable security benefits because one need not trust the fabrication, test, and other third party participants in the outsourced fabrication process model. Most importantly, this work will be used as an added										
layer of security to complement existing software and network security, especially within mission-critical systems.										
as a second to semplement exiculty destinate and nethern decountry, depositing minimal mission emission eyelemen										
15. SUBJECT TERMS										
Logic Obfuscation, Supply Chain Attacks, Hardware Trojans										
				L.a						
16. SECURITY (	CLASSIFICATIO	N OF:	17. LIMITATION OF ABSTRACT	18. NUMBER 19		OF RESPONSIBLE PERSON				
a. REPORT	b. ABSTRACT	c. THIS PAGE	4			RETT S. ROSE IONE NUMBER (Include area code)				
J. REPORT	U. ABSTRACT	U. THIS PAGE	UU	25		30-2562				

# TABLE OF CONTENTS

List of Figures	ii
List of Tables	
1. Summary	1
2. Introduction	
3. Technical Background	
4. Technical Approaches	
4.1 Approach Overview and Principles of IC Testing	
4.2 Fault Analysis based Logic Encryption	
4.2.1 Fault Excitation	
4.2.1.1 Fault Excitation: XOR/XNOR Gates	
4.2.1.2 Fault Excitation: Multiplexors	
4.2.2 Fault Propagation	5
4.2.2.1 Fault Propagation: XOR/XNOR Gates	5
4.2.2.2 Fault Propagation: Multiplexors	
4.2.3 Fault Masking	6
4.2.3.1 Fault Masking: XOR/XNOR Gates	6
4.2.3.2 Fault Masking: Multiplexors	
4.3 Logic Encryption	
4.3.1 Hamming Distance.	
4.3.2 Fault Impact.	
4.3.3 Contradiction Metric.	
5. Simulation Results	
6. Demonstrations	
6.1 Logic Encryption Implementation of AES	
6.1.1 AES Algorithm Details	
6.1.2 Design	12
6.1.3 Simulation	
6.2 A Multiplier using Logic Encryption	
6.2.1 Design	
6.2.2 Simulation	13
6.3 Grain using Sequential XOR Logic Encryption	16
6.3.1 Design	
6.3.2 Simulation	17
6.4 CRC using Sequential MUX Logic Encryption	
6.4.1 Design	
6.4.2 Simulation	18
7. Conclusion	19
8. References	20

# LIST OF FIGURES

Figure 1: A circuit with a stuck-at-0 fault	4
Figure 2: (a) A circuit encrypted with one XOR gate and (b) a circuit with one multiplexe	r5
Figure 3: (a) A circuit encrypted with two XOR gates (E1 and E2). (b) A circuit encrypted	d with
two multiplexers (E1 and E2)	6
Figure 4: Hamming distance between the outputs on applying a correct key and an incorre	ect key
for different ISCAS-85 benchmark circuits. (a) XOR/XNOR-based encryption, (b) multip	lexer-
based encryption	9
Figure 5: Top-level design for Logic Encryption	10
Figure 6: S-Box [7]	11
Figure 7: ShiftRows [7]	12
Figure 8: MixColumns matrix multiplication [7]	12
Figure 9: Encrypted S-Box with keys	13
Figure 10: AES without encryption	13
Figure 11: AES with encryption (Correct Key)	14
Figure 12: AES with encryption (Incorrect Key)	14
Figure 13: ISCAS-85 C6288 circuit [11]	15
Figure 14: Multiplier without encryption	15
Figure 15: Multiplier with correct key	15
Figure 16: Multiplier with incorrect key	16
Figure 17: Grain Stream Cipher [10]	16
Figure 18: Grain without encryption	17
Figure 19: Grain with correct key	17
Figure 20: Grain with incorrect key	18
Figure 21: CRC5 without encryption	18
Figure 22: CRC5 with correct key	19
Figure 23: CRC5 with incorrect key	19

# LIST OF TABLES

# 1. Summary

Over 50 percent of the integrated circuits (ICs) designed for modern U.S. weapon systems are commercial off the shelf (COTS) parts, primarily field programmable gate arrays (FPGAs), and are mostly manufactured outside of the United States. These parts are vulnerable to malicious alterations that could be inserted during the manufacturing process and remain undetectable. Such malicious alterations could contain hidden back doors enabling an attacker to gain control of the system, disable networks, leak confidential information, or degrade signal integrity. Most malicious attacks are performed by understanding the functionality of the ICs themselves. If a designer is able to conceal the functionality of an IC, then most of these attacks can be prevented. A technique called Logic Encryption has been developed to harden computer circuitry against these malicious threats by hiding the functionality of a design. The functionality of a design will be concealed until it is configured by a designer after fabrication and all critical computing components are under custody and control of the rightful owner of the technology. Logic encryption can be achieved by inserting additional gates like XORs and/or multiplexers such that correct outputs are produced only when specific inputs or keys are applied to these gates after manufacturing. In the technique described here, logic encryption is related to fault analysis in IC testing to develop an effective and efficient way to guide the insertion of key gates. This method is process independent and can yield valuable security benefits because one need not trust the fabrication, test, and other third party participants in the outsourced fabrication process model. Most importantly, this work will be used as an added layer of security to complement existing software and network security, especially within mission-critical systems.

## 2. Introduction

Chip design is becoming increasingly vulnerable to malicious activities and alterations as fabrication foundries continue to move offshore. This trend leads to IC designs that are susceptible to cloning, tampering, and/or reverse engineering. These issues have raised serious concerns for the assured security of integrated circuits, especially those employed within mission-critical hardware for surveillance, communications, and weapons operations. Military electronic systems require a high level of confidence that the microprocessors being used are authentic and secured. For example, a custom microprocessor design can be easily altered to operate identically to the genuine device [1]. Alterations can be designed to disable the system intentionally or to compromise the system in some manner to leak sensitive information at any point in the future.

In February 2008, the US Department of Justice announced that \$78 million US dollars worth of counterfeit Cisco systems were imported from China the previous year. For instance, on January 4<sup>th</sup>, 2008, two individuals faced federal charges for trafficking counterfeit Cisco products. The traffickers imported counterfeit computer network hardware, from an individual in China, and sold it to retailers throughout the United States. They also shipped counterfeit products directly to the Marine Corps, Air Force, Federal Aviation Administration, defense contractors, Federal Bureau of Investigation, universities and financial institutions. In a *Business Week* article on October 2<sup>nd</sup>, 2009, Melissa E. Hathaway, head of cyber security for the Office of the Director of National Intelligence, said that counterfeit products have been linked to the crash of mission-critical networks, and may also contain hidden 'back doors' enabling network security to be bypassed and sensitive data to be accessed. The US Department of Defense has recognized the potential national defense vulnerabilities caused by counterfeit devices within military systems [2].

In the *Technology Horizons* report by former US Air Force Chief Scientist, Dr. Dahm, it is stated that one of the technology-derived challenges to Air Force capabilities is having cyber operations in un-trusted environments in both software and hardware [3]. This is because most providers of critical computing equipment and infrastructure for cyber systems originate from multinational foreign based manufacturing operations. Thus, the ability to regulate, supervise, and secure any manufacturing processes are out of US control and jurisdiction to ensure trust and integrity of the computing hardware components. In addition, one of the greatest risks to national security is the loss of the critical hardware containing secured and trusted computing architecture designs in the field. If critical deployed hardware is lost, captured and no longer under US control, it can be subject to reverse engineering. Therefore, it is critical to develop secured hardware technologies that inherently prevent tampering and reverse engineering. This research and development effort describes techniques applied to IC processor designs that will provide the mechanisms to maintain secure data integrity and information assurance for Air Force information processing and computing systems. In addition, this computing architecture can provide the foundation for future trusted designs irrespective of fabrication origin. Thus, it will eliminate national defense, information and cyber security risks and vulnerabilities due to exposure to untrusted semiconductor manufacturing foundries.

# 3. Technical Background

The issue of hardware trojans and solutions to this problem have been studied extensively in recent years. In a technique called *state-based obfuscation* proposed by Chakraborty and Bhunia [4], finite state machines (FSMs) are inserted in the design which initially locks all processor functionality. Then, by using a secured key, the design can be unlocked causing the processor to be functional once again. The output of this FSM is connected to XOR gates with a few selected nodes of the circuit.

An approach proposed by Jarrod Roy [5] is based on using XOR/XNOR gate-based obfuscation. In this technique, a node within the circuit or net is selected and replaced by an XOR/XNOR gate. One of the inputs to the inserted XOR/XNOR gate is a key bit while the other is the original signal for the selected net. The XOR/XNOR gates act as buffers with the correct key while they become inverters with an incorrect key. In addition, a chip activation protocol is implemented based on public-key cryptography for strong security within the IP holder and foundry.

Finally, memory elements such as LUTs can also be inserted into a design. For example, four input LUTs can be inserted within various circuit paths. For each LUT, one input is selected as the true path and the other inputs are chosen to process random paths. Based on the LUT inputs, the output is selected from the contents of the respective LUT. The circuit will function correctly only when these elements are configured or programmed correctly. However, this approach incurs significant performance overhead.

# 4. Technical Approaches

The objective of this research effort is to develop techniques that prevent tampering during the design and manufacturing process of computing architectures. The resulting methodology will protect Air Force information computing systems hardware for air, space, and cyberspace computing applications.

# 4.1 Approach Overview and Principles of IC Testing

In XOR/XNOR based logic encryption [5], gates are inserted at random locations in a design. However, this approach does not guarantee that a wrong key will impact the output as its effects are not necessarily propagated to the output. This is similar to an IC testing scenario where the effect of a fault is not guaranteed to propagate to the output. In this approach, these two scenarios are related and ensure that the effect of using incorrect keys always propagates to the outputs.

Manufactured ICs may contain defects for a variety of reasons such as shrinking technology dimensions. Thus, all manufactured ICs have to be tested to prevent defective chips from entering the supply chain. During IC testing, input patterns are applied to an IC and the response is observed at the outputs [6]. If the observed response is different from the correct response, that IC would be classified as faulty. IC testing is enabled by modeling the defects as faults and designing algorithms to determine the input patterns that excite those faults and propagate their impact on the outputs. Most of the defects can be modeled as either stuck-at-0 (s-a-0) or stuck-at-1 (s-a-1) where a net in the design can be forced to either logic 0 or logic 1, respectively.

#### 4.2. Fault Analysis based Logic Encryption

As traditional IC testing algorithms analyze the effect of faults in a circuit and provide ways to propagate their effects to the circuit output, they are leveraged here to perform logic encryption to guide key gate insertion [10-13]. The gates inserted during encryption are called key gates and include two different types: 1) XOR/XNOR gates and 2) multiplexers.

In XOR/XNOR based encryption, XOR/XNOR gates are introduced into the circuit such that one input of the inserted gate is a key and the other input is a net in the original design, referred to here as the true net. Based on the key, the XOR/XNOR gate can either retain the true signal or invert it.

In multiplexer based logic encryption, multiplexers are inserted such that one input of the multiplexer will be the true (original) net in the design. The other input of the multiplexer, referred as the false input, is another net in the design and the select line of the multiplexer is connected to the respective key bit. On applying the correct key value, the true net is selected, retaining the correct functionality of the design, otherwise, the functionality is modified by selecting the incorrect or false net.

The encryption of a design is accomplished in such a way that any incorrect key causes an incorrect output. This is similar to the situation where an incorrect output is produced when the circuit has a fault which has been excited and propagated to the outputs.

#### 4.2.1. Fault Excitation

Application of an incorrect key should change the logic value at the place where the corresponding key gate is inserted. Hence, applying an incorrect key can be associated with the activation of a fault. An example of a circuit with an excited fault can be seen in Figure 1.

#### 4.2.1.1. Fault Excitation: XOR/XNOR gates

In the case of XOR/XNOR gates, fault excitation is always guaranteed on applying an incorrect key as the true signal gets inverted, i.e., either a stuck-at-0 (s-a-0) or stuck-at-1 (s-a-1) fault will be excited. Figure 2(a) is a circuit encrypted with one XOR gate (E1). If an incorrect key (K1=1) is applied to the circuit, the value of net B is the negated value of net A. This is the same as exciting a s-a-0 when A=1 or s-a-1 when A=0 at the output of G7 as shown in Figure 1.

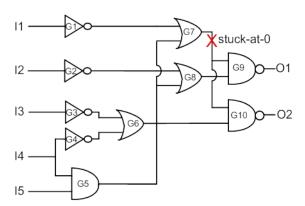


Figure 1: A circuit with a stuck-at-0 fault.

## 4.2.1.2. Fault Excitation: Multiplexers

In the case of multiplexer based key gates, the application of an incorrect key causes a false net to be selected instead of the true net. For example, Figure 2(b) shows a circuit encrypted with one multiplexer. If an incorrect key (K1=1) is applied to the circuit, the value of net Y gets the false value of the net F instead of the true value of the net T. For the input pattern 1X111, the values on T and F are 1 and 0, respectively. On applying an incorrect key a s-a-0 fault is excited at the output of G7 shown in Figure 1.

# 4.2.2. Fault Propagation

Not all incorrect keys can corrupt the output as the effects of an incorrect key may be blocked for some of the input patterns. This is similar to the scenario where not all input patterns can propagate the effect of an excited fault to the output.

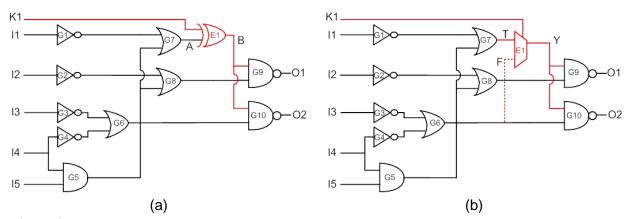
# 4.2.2.1. Fault Propagation: XOR/XNOR gates

Considering the circuit in Figure 2(a), an input pattern of 01110 and an incorrect key (K1=1) leads to an output of 11 which is the same as the correct functional output. Thus, the output is correct even though the s-a-0 fault gets excited at the output of E1.

# 4.2.2.2. Fault Propagation: Multiplexers

Consider the circuit with multiplexer based logic encryption in Figure 2(b). The input pattern 01110 excites a s-a-0 fault at the output of G7. However, the impact of the fault is blocked at G10, failing to corrupt the output O2.

To propagate the effect of an excited fault, non-controlling values should be applied to the other inputs of the gates that are on the propagation path of the fault. Since not all input patterns guarantee the non-controlling values to these gates, an incorrect key will not always corrupt the output.



**Figure 2:** (a) A circuit encrypted with one XOR gate and (b) a circuit with one multiplexer.

#### 4.2.3. Fault Masking

Inserting a single key gate and applying an incorrect key is equivalent to exciting a single stuck-at fault. Inserting multiple key gates and applying incorrect keys is equivalent to exciting multiple stuck-at faults. However, when multiple faults are excited, some excited faults might mask the effect of others. Analogously, in logic encryption, when multiple key gates are inserted, the effect of one key gate might mask the effect of another.

#### 4.2.3.1. Fault Masking: XOR/XNOR gates

When the key bits (K1 and K2) are 00 for the circuit shown in Figure 3(a), the correct functional output is 00 for the input pattern '00000'. However, if the key bits are 11 (incorrect key), the effect introduced by the XOR gate E1 is masked by the XOR gate E2, and the circuit still produces a correct output at O2, i.e., a logic 0. Thus, similar to fault masking, the effect of one XOR gate can be masked by the effect of another XOR gate.

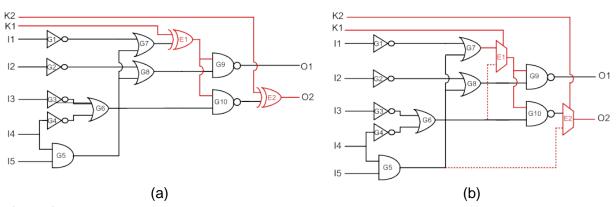
#### 4.2.3.2. Fault Masking: Multiplexers

When the key bits (K1 and K2) 00 are applied to the circuit shown in Figure 3(b), the correct functional output at O2 is 0 for the input pattern '0X110'. However, if the key bits are 11 (incorrect key), the effect introduced by the multiplexer E1 is masked by the multiplexer gate E2, and produces a correct output at O2, i.e., a logic 0. Thus, similar to fault masking, the effect of one multiplexer can be masked by the effect of another multiplexer.

# 4.3. Logic Encryption

#### **4.3.1.** Hamming Distance

If only one or more of the output bits are wrong and the other output bits do not change for an invalid key, then the attacker might figure out the functionality using the unaffected outputs. If all outputs are affected, then the output will be the exact complement of the correct output. Thus, an attacker may relate the outputs to the inputs. Ideally, if 50% of the output bits are affected, and if the set of affected outputs changes from one pattern to the other, then it is difficult for an attacker to relate the outputs and determine the functionality of the design. Hence, 50% of the output bits should be affected on applying an invalid



**Figure 3:** (a) A circuit encrypted with two XOR gate (E1 and E2). (b) A circuit encrypted with two multiplexers (E1 and E2).

key. In terms of fault simulation, this problem can be stated as finding a set of faults which together will affect 50% of the outputs.

# 4.3.2. Fault Impact

A greedy iterative approach is used to insert key gates. In each iteration, the fault that has the potential of propagating to a maximum number of outputs dictates the location of the key gate to be inserted. For every iteration (except for the first), the key gates inserted at previous iterations are provided with random incorrect keys thereby emulating a multiple stuck-at fault scenario, and accounting for the previous key gate insertions. To insert a key gate, the location in the circuit must be determined where, if a fault occurs, it can affect most of the outputs for most of the input patterns. Fault impact [13] is used as defined by the following equation to identify such locations:

Fault Impact = 
$$(\# \text{ of Test Patterns}_{s-a-0} \times \# \text{ of Outputs}_{s-a-0}) + (\# \text{ of Test Patterns}_{s-a-1} \times \# \text{ of Outputs}_{s-a-1}).$$
 (1)

For any input pattern, depending upon the value at the control input of the gate either a s-a-0 or s-a-1 fault will be excited at the output of a gate. However, the effect of the fault will be observed at one or more outputs for only some of the input patterns. From a set of test patterns, the number of patterns that detect the s-a-0 fault (# Test Patterns<sub>s-a-0</sub>) are computed for every net and the cumulative number of output bits that get affected by that s-a-0 fault (# Outputs<sub>s-a-0</sub>). Similarly, for s-a-1 faults the terms # Test Patterns<sub>s-a-1</sub> and # Outputs<sub>s-a-1</sub> are computed. The net with the highest fault impact points to the location where the key gate is to be inserted.

#### 4.3.3. Contradiction Metric

As described in 3.2.1.3., fault excitation in multiplexer-based encryption will happen only if the value on the true net is different from the value on the false net. The true net has already been selected based on the fault-impact metric described in 3.3.2. The selection of the false net is done based on another metric, the *contradiction metric* [13], which aims at maximizing the probabilities of having complementary values on the true and the false nets to select the best false net:

Contradiction Metric = 
$$(P_0 \text{ true } x P_1 \text{ false}) + (P_1 \text{ true } x P_0 \text{ false}),$$
 (2)

```
input: Netlist
output: Netlist with key gates
for i \leftarrow 1 to KeySize do
        foreach gate j \in Netlist do
                Compute Fault Impact (Test Patterns, Random Key);
        End
        Select the gate with the highest Fault Impact;
        Insert a Multiplexer and update the Netlist;
        Increment KeySize;
        Calculate the Hamming Distance (Test Pattern, Random Key)
                                                                        between the obtained output and
the correct functional output;
       if Hamming Distance == 50% then
                Terminate
        end
       if KeySize == Max KeySize then
                Terminate
        end
end
```

**Algorithm 1:** A fault impact based algorithm to insert key gates in circuit path.

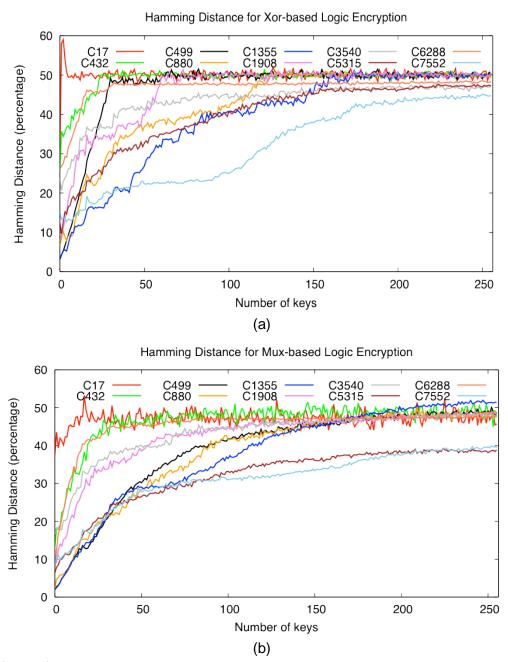
where  $P_{0, \text{ true}}$  and  $P_{1, \text{ true}}$  are the probabilities of getting a 0 and 1 on the true net, respectively, while  $P_{0, \text{ false}}$  and  $P_{1, \text{ false}}$  are the probabilities of getting a 0 and 1 on the false net, respectively.

It is also worth noting that false net selection should avoid combinational loops. For the multiplexerbased encryption, a false net is selected based on the conditional probability with respect to the values on a true net.

#### 5. Simulation Results

The proposed technique is evaluated using the ISCAS-85 combinational logic benchmark circuits [7]. The HOPE fault simulation tool [8] was used to calculate the fault impact of each net in a given circuit where 1000 random input patterns were applied to a netlist to observe the outputs of the unencrypted circuit. The fault impact was calculated for all possible stuck-at faults in each circuit. In multiplexer-based encryption, after selecting a true net, the contradiction metric was calculated for all possible nets and the net with the highest contradiction metric was selected as the false path. Valid and random incorrect keys were applied to an encrypted netlist and determined the Hamming distance between the corresponding outputs.

Figures 4(a) and 4(b) show the Hamming distance for XOR/XNOR-based and multiplexer-based encryption schemes, respectively. The proposed XOR/XNOR-based and MUX-based insertions achieved 50% Hamming distance for most of the benchmarks as the algorithm takes the fault propagation and masking effects into account. In these types of encryption, a fault is always excited on applying an incorrect key.



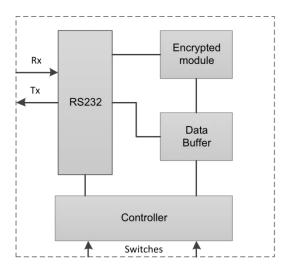
**Figure 4:** Hamming distance between the outputs on applying a correct key and an incorrect key for different ISCAS-85 benchmark circuits. (a) XOR/XNOR-based encryption, (b) multiplexer-based encryption.

Table I shows the number of key gates required to achieve 50% Hamming distance between the correct and the incorrect output in XOR/XNOR-based and MUX-based encryptions for some of the ISCAS-85 benchmark circuits. It can be inferred that the 50% Hamming distance objective can be achieved by inserting tens of XOR/XNOR gates in a design with a few thousand gates. This leads to low area overhead encryption. Such an effect is achieved because the technique identifies effective locations to insert the gates based on excitation, propagation, and masking principles from IC testing.

## 6. Demonstrations

Logic Encryption (LE) hides the functionality of the design through the process of adding additional gates to the original design [8]. These gates will act at keys, upon which a correct set of keys will allow the encrypted design to function correctly and an incorrect set of keys will produce incorrect functionality, i.e. wrong outputs. In this project, four versions of logic encryption were implemented for evaluation: combinational XOR, combinational MUX, sequential XOR, and sequential MUX. The designs were synthesized and loaded onto an FPGA and the results of the outputs verified via the RS232 port.

The top-level diagram is shown in Figure 5. The RS232 module serves as the communication channel between the encrypted design and the user. Therefore, the user can interact with the design through any serial terminal program. The controller is a finite-state machine that will handle how the data is loaded into each module. The buffer will store the data until it is ready to be sent to either the RS232 or the encrypted module. The designs were implemented on a Digilent Atlys FPGA board and used the program Terminal [15] for the RS232 communication. The design was synthesized using Xilinx ISE Webpack and the bit file was downloaded using Digilent Adept.



**Figure 5:** Top-level design for Logic Encryption.

#### 6.1. Logic Encryption Implementation of AES

The Advanced Encryption Standard (AES) algorithm is a block cipher that operates on data in blocks of 128-bits using a key size of 128, 192, or 256-bits [9]. Unlike its predecessor Data Encryption Standard (DES) which operates on a Feistel network, the AES algorithm works on a substitution permutation network using a 4x4 byte state matrix. There are four major operations: SubBytes, ShiftRows, MixColumns, and AddRoundKey each of which will modify the state matrix. Before the four major operations are performed, an initial RoundKey operation is added. These four operations will be looped a certain number of times depending on the key size used.

**TABLE 1:** Number of key gates required to achieve 50% Hamming distance between the correct and the incorrect output in (a) XOR/XNOR-based encryption and (b) MUX-based encryption for some of the ISCAS-85 benchmark circuits.

	# of key gates						
Benchmark	(a) XOR	(b) MUX					
C17	2	18					
C432	26	66					
C499	38	210					
C880	125	207					
C1355	160	200					
C1908	61	194					
C3540	170	197					
C5315	212	209*					
C6288	33	82					
C7552	251	225*					

<sup>\*</sup> For the multiplexer case, circuits C5315 and C7552 do not achieve 50% Hamming distance. The # of key gates listed for these two cases is for the maximum Hamming distance possible.

An Advanced Encryption Standard (AES) circuit [9] was implemented and the encrypted design was implemented on an FPGA. The AES circuit will perform the encryption correctly only when the correct key is applied to the circuit.

#### 6.1.1. AES Algorithm Details

The SubBytes operation is a substitution transformation that performs a mapping of the state matrix with the S-Box. The resulting state matrix provides non-linearity. For example, if  $State_{1,1} = [DF]$  then the new  $State_{1,1} = [9E]$ .

The ShiftRows operation moves the state matrix by a certain number of offset bytes. The first row of the

		, y															
		0	1	2	3	4	5	6	7	8	9	a	b	U	d	Φ	f
	0	63	7с	77	7b	f2	6b	6f	с5	30	01	67	2b	fe	d7	ab	76
	1	ca	82	с9	7d	fa	59	47	f0	ad	d4	a2	af	9c	a4	72	<b>c</b> 0
	2	b7	fd	93	26	36	3f	£7	CC	34	<b>a</b> 5	e5	f1	71	d8	31	<b>15</b>
	3	04	<b>c</b> 7	23	<b>c</b> 3	18	96	05	9a	07	12	80	e2	eb	27	b2	75
	4	09	83	2c	1a	1b	6e	5a	a0	52	3b	d6	b3	29	e3	2f	84
	5	53	d1	00	ed	20	fc	b1	5b	6a	cb	be	39	4a	4c	58	cf
	6	d0	ef	aa	fb	43	4d	33	85	45	f9	02	7f	50	3с	9f	<b>a8</b>
x	7	51	a3	40	8f	92	9d	38	f5	bc	b6	da	21	10	ff	f3	d2
^	8	cd	0с	13	ပ္	5f	97	44	17	c4	<b>a</b> 7	7e	3d	64	5d	19	73
	9	60	81	4f	dc	22	2a	90	88	46	ee	b8	14	de	5e	0b	db
	a	e0	32	3a	0a	49	06	24	5c	c2	d3	ac	62	91	95	e4	79
	b	e7	c8	37	6d	8d	d5	4e	<b>a</b> 9	6	56	f4	ea	65	7a	ae	08
	C	ba	78	25	2e	1c	<b>a</b> 6	b4	С6	e8	dd	74	1f	4b	bd	8b	8a
	d	70	3e	b5	66	48	03	f6	0e	61	35	57	b9	86	c1	1d	9e
	е	e1	f8	98	11	69	d9	e 8	94	9b	1e	87	<b>e</b> 9	e	55	28	df
	f	8c	a1	89	0d	bf	e6	42	68	41	99	2d	0f	b0	54	bb	16

**Figure 6**: S-Box [7].

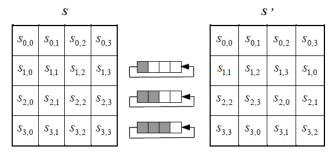


Figure 7: ShiftRows [7].

state matrix is not shifted and remains constant. The second, third, and fourth rows are cyclically shifted by increments of one, i.e., the second row is shifted by 1, the third row is shifted by 2, and the fourth row is shifted by 3.

The MixColumns operation performs a column by column multiplication with a constant matrix. The addition and multiplication of the matrix is performed over a Galois Field (GF) of  $2^8$ . This means that unlike traditional arithmetic, the addition in  $GF(2^8)$  will be an XOR operation. The multiplication operation is similar to normal arithmetic. Here, if the state value is greater than 0x80, the process is to left-shift by 1 and then XORed with 0x1b to prevent overflow in the Galois field. If the state value is less than 0x80, a left-shift by 1 is performed as this is the same as multiplying by 2.

The AddRoundKey operation is an XOR operation between the RoundKey and the state matrix.

# **6.1.2.** Design

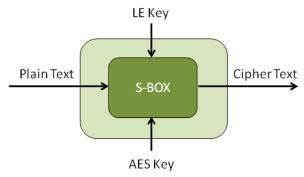
Logic Encryption hides the functionality of the AES design by adding additional gates into the original design. In this project logic encryption was implemented for the S-Box portion of the AES code as shown in Figure 9. This was done mainly because the Verilog code for the S-Box consists of combinational circuits only. The S-Box was encrypted with the secret key set to all zero, for the sake of simplicity. The design then was synthesized with Xilinx ISE and downloaded to an Atlys FPGA board.

#### 6.1.3. Simulation

Two different simulations of the AES code were performed using Xilinx iSim. At first, the AES code was simulated without logic encryption with the simulation result shown in Figure 10. Logic encryption with XOR gates was performed in the S-Box and the results with a correct key and incorrect key are shown in Figures 11 and 12, respectively. In Figure 12, one bit of the LE key has been changed from the one in Figure 11 to produce an incorrect key. As a result, an avalanche effect is observed for the incorrect output of the AES ciphertext. In other words, after changing only one key bit more than half of the output bits are impacted.

$$\begin{bmatrix} \dot{s}_{0,c} \\ \dot{s}_{1,c} \\ \dot{s}_{2,c} \\ \dot{s}_{3,c} \end{bmatrix} = \begin{bmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{bmatrix} \begin{bmatrix} s_{0,c} \\ s_{1,c} \\ s_{2,c} \\ s_{3,c} \end{bmatrix}$$

**Figure 8**: MixColumns matrix multiplication [7].



**Figure 9:** Encrypted S-Box with keys.

# 6.2. A Multiplier using Logic Encryption

The 16x16 Multiplier is also known as the C6288 ISCAS-85 benchmark circuit. It consists of 2416 gates forming 240 full and half adders using a 15x16 matrix arrangement [11]. The multiplier takes in two 16-bit inputs and outputs a 32-bit product.

#### **6.2.1.** Design

Similar to the AES design, the multiplier circuit is encrypted with the mux-based logic encryption and implemented on an FPGA board with RS232 module to allow for user interaction.

#### 6.2.2. Simulation

Two models, one with logic encryption and one without logic encryption are simulated using Xilinx iSim. The two operands are 4660 (0x1234) and 22136 (0x5678). The LE key use for logic encryption is set to all zero. Using a calculator it is easy to verify that 4660 \* 22136 is 103153760 (0x6260060) and the results agree with Figures 14 and 15. In Figure 16, 1-bit in the LE key was changed to generate an incorrect key and the new result becomes 26804320 (0x1990060), which is not the correct answer.

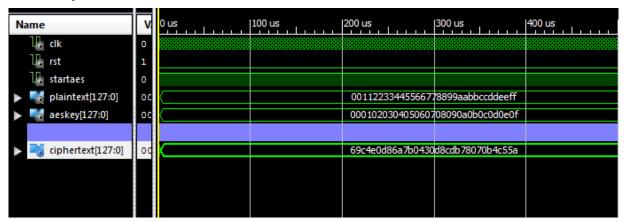


Figure 10: AES without encryption.

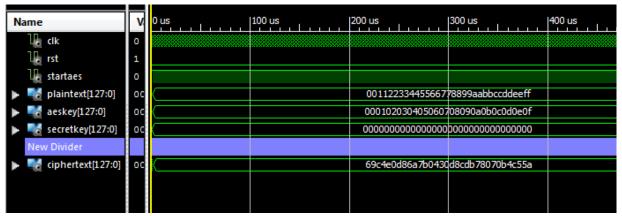


Figure 11: AES with encryption (Correct Key).

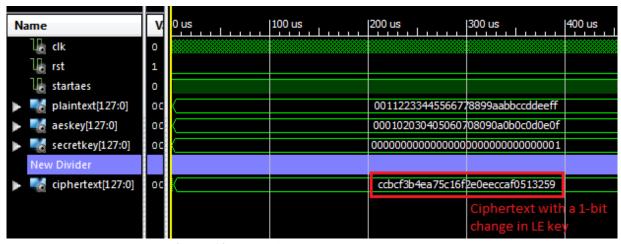
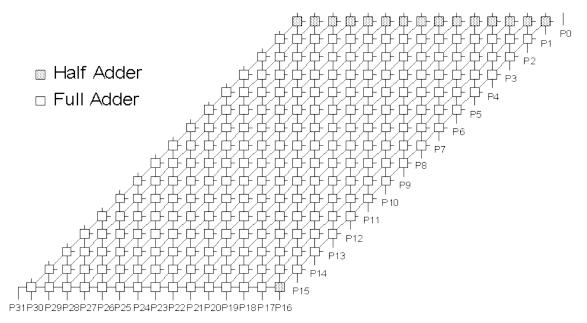


Figure 12: AES with encryption (Incorrect Key).



**Figure 13:** ISCAS-85 C6288 circuit [11].

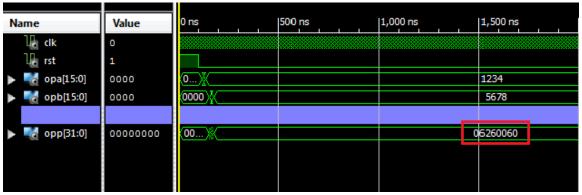


Figure 14: Multiplier without encryption.

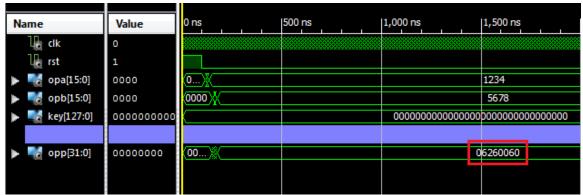
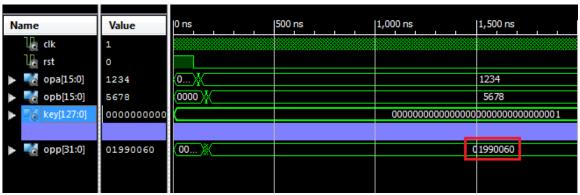


Figure 15: Multiplier with correct key.



**Figure 16:** Multiplier with incorrect key.

# 6.3. Grain using Sequential XOR Logic Encryption

Cryptographic algorithms are a key feature in secure and trusted hardware design. The overhead and resource usage of these algorithms are typically minimal due to the size of the overall design. However, for applications that required low memory and power usage such as an RFID tag, this may be difficult to achieve by using traditional block cipher algorithms. Grain is a stream cipher that is designed for low power and low resource count. As a part of the eSTREAM project, Grain was chosen because of its simplistic design with two shift registers, one linear and one non linear, and can support a key size of 128 bits and an IV size of 96 bits. An overview of the Grain structure is shown in Figure 17; NFSR and LFSR are non-linear and linear shift registers, respectively. Functions g(x), f(x), and h(x) are polynomial. More detail specification can be found in the work of Hell et al. [12,16].

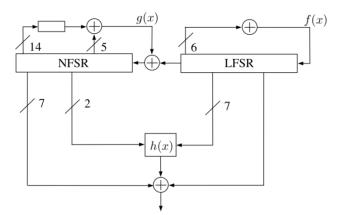


Figure 17: Grain Stream Cipher [10].

#### **6.3.1.** Design

In previous logic encryption designs only the combinational portion of the design is encrypted. This does not give many options for designs that heavily depend on sequential circuit elements. In sequential LE, it is important to take into account how the fault impact metric will affect the gates with sequential elements in the path. Once this has been determined, the encryption is performed in the same process as used for the combinational XOR approach. The Grain VHDL code was obtained from [13] and implemented in the same fashion as shown in Figure 5.

#### 6.3.2. Simulation

Similar to the combinational XOR simulation, two versions of Grain were simulated. The Grain test vectors are obtained from [12]. Since Grain is a stream cipher, it will output the key stream of one bit every clock cycle. Therefore, a shift register was included to store 64bits at any point in time. The LE key for this design was set to 0x1122334455667788aabbccddeeff0000 as shown in Figure 19 and denoted as the obf\_key signal.

Correct LE key: 0x1122334455667788aabbccddeeff0000

Correct keystream: 0x7b978cf36846e5f4ee0b

Incorrect LE key: 0x1122334455667788aabbccddeeff0001

Incorrect keystream: 0x9f58bbe3d72d8abed72d

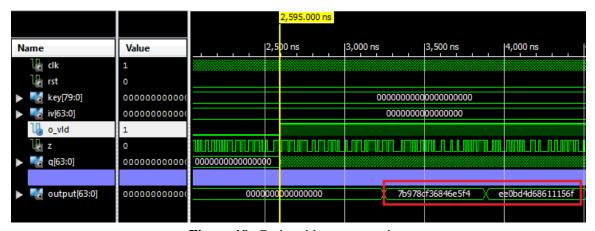


Figure 18: Grain without encryption.

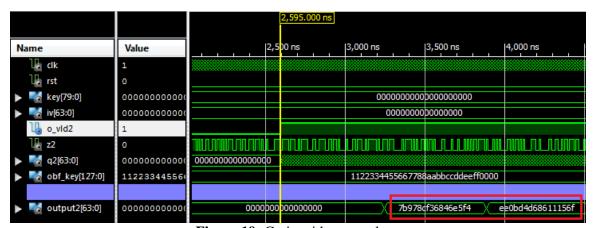


Figure 19: Grain with correct key.

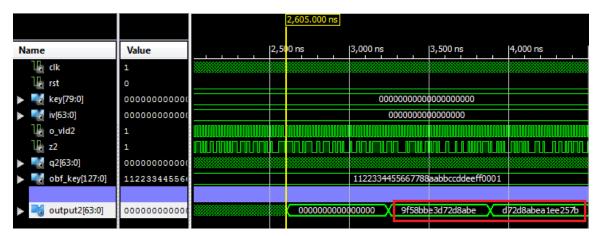


Figure 20: Grain with incorrect key.

# 6.4. CRC using Sequential MUX Logic Encryption

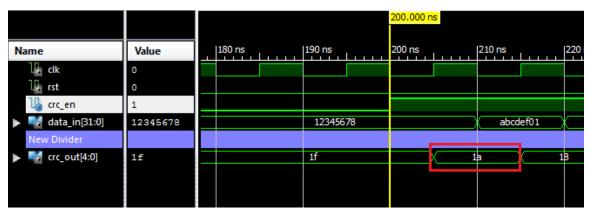
Cyclic redundancy check (CRC) is an error detecting code used to determine the data integrity of a digital transmission. It has been implemented in various communication protocols such as TCP/IP, USB, and GSM networks. Since the computation of CRC is done through a polynomial division using modulo two, it is easy to implement this in hardware using shift registers.

#### **6.4.1.** Design

The CRC implementation for USB token protocol was chosen was the main candidate. The VHDL source code was generated from [14] using the standard CRC5 which has a polynomial of  $x^5 + x^2 + 1$ . The CRC design then was encryption with the mux-based LE.

#### 6.4.2. Simulation

Two versions of the CRC5 were simulated. As shown in Figure 21, for input data of 0x1234578, the CRC checksum is 0x1a. In the logic-encrypted design with a LE key of 0x4a6f7921 the output matches the original design (Figure 22). However, when an incorrect LE key is supplied the design will become unstable and an incorrect output results (Figure 23).



**Figure 21:** CRC5 without encryption.



Figure 22: CRC5 with correct key.



Figure 23: CRC5 with incorrect key.

# 7. Conclusion

Fault analysis based logic encryption with XOR/XNOR gates achieves 50% Hamming distance between the correct and the corresponding wrong outputs when an invalid key is applied to the design. To improve the Hamming distance of multiplexer-based encryption, one can select the false net based on the conditional probability with respect to the values on the true net as opposed to the absolute probability. Alternatively, the fault analysis technique can be employed to guide the selection of false nets. Logic encryption can also be performed using a fault simulator that supports multiple stuck-at fault models to account for fault masking effects. Even though in this work the approach for encrypting combinational designs has been effectively demonstrated, one can also extend it to encrypt sequential designs. In addition, several logic circuits were implemented using logic encryption as proofs-of-concept with each design placed the on an FPGA board to verify the results of the outputs.

## 8. References

- [1] T. Kean, D. McLaren, and C. Marsh, "Verifying the authenticity of chip designs with the DesignTag system," in *Proceedings of the IEEE International Workshop on Hardware-Oriented Security and Trust*, Anaheim, CA, pp 59–64, Jun. 2008.
- [2] D. Collins, "DARPA Trust in IC's Effort," *DARPA Microsystems Technology Symposium*, San Jose, CA, Mar. 2007.
- [3] W.J.A. Dahm, "Report on Technology Horizons, A Vision for Air Force Science & Technology During 2010-2030 Volume 1," U.S. Department of Air Force, Washington, D.C., Rep. AF/ST-TR-10-01-PR, May 15, 2010.
- [4] R.S. Chakraborty and S. Bhunia, "Security against Hardware Trojan through a Novel Application of Design Obfuscation," in *Proceedings of the 2009 International Conference on Computer-Aided Design*, pp. 113–116.
- [5] J.A. Roy, F. Koushanfa, and I.L. Markove, "EPIC: Ending Piracy of Integrated Circuits," in *Proceedings of the IEEE/ACM Design, Automation and Test in Europe*, pp. 1069–1074.
- [6] M.L. Bushnell and V.D. Agrawal, *Essentials of Electronics Testing for Digital Memory, and Mixed-Signal VLSI Circuits*. Boston, MA: Kluwer Academic Publishers, 2000.
- [7] M. Hansen, "Unveiling the ISCAS-85 benchmarks: a case study in reverse engineering," *IEEE Design & Test of Computers*, vol. 16, no. 3, pp. 72–80, 1999.
- [8] H. Lee and D.S. Ha, "HOPE: An Efficient Parallel Fault Simulator for Synchronous Sequential Circuits," *IEEE Transactions on Computer-Aided Design of Integrated Circuits and Systems*, vol. 15, no. 9, pp. 1048–1058, 1996.
- [9] "Federal Information Processing Standards Publication 197: Announcing the Advanced Encryption Standard (AES)," <a href="http://csrc.nist.gov/publications/fips/fips197/fips-197.pdf">http://csrc.nist.gov/publications/fips/fips197/fips-197.pdf</a>, Nov. 26, 2001.
- [10] J. Rajendran, Y. Pino, O. Sinanoglu, and R. Karri, Security Analysis of Logic Obfuscation, in *Proceedings of IEEE/ACM Design Automation Conference*, May 2012, pp. 83-89.
- [11] J. Rajendran, Y. Pino, O. Sinanoglu, and R. Karri, Applying IC Testing Concepts to Secure ICs, in *Proceedings of Government Microcircuit Applications and Critical Technology*, March 2012.
- [12] J. Rajendran, Y. Pino, O. Sinanoglu, and R. Karri, Fault-analysis based Logic Encryption, in *Proceedings of IEEE/ACM Design Automation and Test in Europe*, March 2012, pp. 953-958.
- [13] J. Rajendran, H. Zhang, C. Zhang, G. S. Rose, Y. Pino, O. Sinanoglu, and R. Karri, "Fault Analysis-based Logic Encryption," *IEEE Transactions on Computers*, October 2013.